

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

*Attorney Docket No.:* 2002-0069

*First Named Inventor* : Toshikazu TACHIKAWA et al.

**Title: NEGATIVE-WORKING PHOTORESIST COMPOSITION**

*Express Mail Label No.:*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents*

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i>
2. <input type="checkbox"/> Small Entity Status is hereby asserted.	8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. <input checked="" type="checkbox"/> Specification <i>(preferred arrangement set forth below)</i>	<i>[Total Pages: 29]</i>
- Descriptive title of the Invention	a. <input type="checkbox"/> Computer Readable Form
- Cross References to Related Applications	b. Specification Sequence Listing on:
- Statement Regarding Fed sponsored R & D	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Reference to Sequence Listing, a table, or a computer program listing appendix.	ii. <input type="checkbox"/> Paper
- Background of the Invention	c. <input type="checkbox"/> Statement verifying <i>identity of above copies</i>
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input type="checkbox"/> Drawing(s) <i>(35 USC 113)</i>	<i>[Total Sheets: ]</i>
5. <input checked="" type="checkbox"/> Oath or Declaration	<i>[Total Pages : 3]</i>
a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
a.2. <input type="checkbox"/> Unexecuted	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <input type="checkbox"/> Power of Attorney
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)	13. <input type="checkbox"/> Preliminary Amendment
	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
	15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B) Applicant must attach form PTO/SB/35 or its equivalent.
	17. <input checked="" type="checkbox"/> Other <u>Claim of Priority Under 35 USC 119</u>

111-868 U.S. PTO  
copies 10/05/3622  
e 01/24/02

## ACCOMPANYING APPLICATION PARTS

9. [ ] Assignment Papers (cover sheet & document(s))

10. [ ] 37 CFR 3.73(b) Statement (*when there is an assignee*)  
[ ] Power of Attorney

11. [ ] English Translation Document (*if applicable*)

12. [X] Information Disclosure Statement (IDS)/PTO-1449  
[ ] Copies of IDS Citations

13. [ ] Preliminary Amendment

14. [X] Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)

15. [ ] Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)

16. [ ] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.

17. [X] Other Claim of Priority Under 35 USC 119

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet.

[ ] Continuation [ ] Divisional [X] Continuation in part (CIP) of prior application No. 00/000,000

**Prior Application Information:** Examiner B. Achten

Group Act No. 1352

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**



000513

**PATENT TRADEMARK OFFICE**

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January 24, 2002

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshikazu TACHIKAWA et al.

Serial No. [NEW] : Attn: Application Branch

Filed January 24, 2002 : Attorney Docket No. 2002-0069

NEGATIVE-WORKING PHOTORESIST  
COMPOSITION  
(Rule 1.53(b) Continuation-in-Part  
of Serial No. 09/638,872,  
Filed August 15, 2000)

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
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ACCOUNT NO. 23-0975.

**PATENT OFFICE FEE TRANSMITTAL FORM**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$ 740.00 to cover Patent Office fees relating to filing the following attached papers:

Rule 53(b) application ..... \$ 740.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Toshikazu TACHIKAWA et al.

By Matthew Jacob  
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MJ/pjm

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January 24, 2002

[Check No. 48503]  
2002-0069